



INCEPTION REPORT

OF THE

**PROGRAM "STRATEGIC FRAMEWORK TO
DEVELOP A REGIONAL AGENDA FOR THE
PROTECTION OF INDIGENOUS PEOPLES IN
VOLUNTARY ISOLATION AND INITIAL CONTACT"**

TECHNICAL COOPERATION N° ATN/OC-11423-RG-1(RG-T1503)

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I. Basic Information

BASIC DATA (Amount in USD)

Project Nº: RG-T 1503

Title: Program "Strategic framework to develop a regional agenda for the protection of indigenous peoples in voluntary isolation and initial contact"

Borrower:

Executing Agency (EA): Amazon Cooperation Treaty Organization - ACTO

Technical Cooperation: ATN/OC-11423-RG-1(RG-T1503) Sector: Social (SCL)

Credit Instrument: Non-Reimbursable Regional Technical Cooperation.

Date of Board Approval: 9 May 2007 Date of Contract Signature: 15 February 2011

Date of Eligibility for 1st Disbursement: Technical Cooperation in Initial Execution Stage

Original Date of Last Disbursement:

Months in Execution:

- From Approval: 3 months
- From Contract Effectiveness: Contract signed on 15 February 2011
- Disbursement Periods:
- Original Date of Final Disbursement: 15 August 2014

Loan Amount:

- Original Amount: USD 800,000.00
- Amount to Date: USD 800,000.00
- Pari Passu: 84.03%

Disbursements:

- Amount to Date:

Total Project Cost - original estimate: USD 952,000.00

Redirectioning: No

Poverty Targeted Investment / Social Equity: Yes

Environmental Classification: C

Country "on alert":No

II. Introduction

2.1. This report presents the activities plan for the program "Strategic framework to develop a regional agenda for the protection of indigenous peoples in voluntary isolation and initial contact" for the period of 15 February 2011 to 15 February 2014, pursuant to Article 3 - Conditions prior to first disbursement (v) of the Specific Conditions of Contract N° RG-T1503 signed between the Amazon Cooperation Treaty Organization and the Inter-American Development Bank.

2.2. The report presents the results of the planning process, a description of the main problems that may arise during execution and an indication and assessment of the corrective measures adopted in the period to achieve the proposed objectives.

III. Implementation Plan

a. Program Context

- 3.1 This technical cooperation is the result of a proposal presented by the governments of the region and selected by the Regional Public Goods Initiative (RPG). The project is developed in accordance with the IDB Operational Policy on Indigenous Peoples (OP-765). The proposal was prepared following a situation assessment of non-contacted indigenous peoples conducted with IDB technical cooperation, which led the countries to have a first discussion on subject. After RPG funds were approved for the initiative, the Bank contacted ACTO to lead the current project.
- 3.2 The Program is currently in the initial stage of execution and does not have measurable progress yet.

b. Program Description

i. Development Objectives

- 3.4. The Program aims to help protect indigenous peoples living in voluntary isolation and initial contact by defining effective policies and consensual actions between governments, indigenous organizations and non-governmental organizations (NGOs) with experience on the topic. To this extent, the Regional Public Good (RPG) offered by the Program is a Strategic Framework to Protect Indigenous Peoples in Voluntary Isolation and Initial Contact that coordinates and interconnects the countries where they live, thus safeguarding their physical and cultural integrity in a manner consistent, at least, with the Bank's policies for isolated and transboundary indigenous peoples.
- 3.5. The Program is structured in five (5) components according its specific objectives: (i) a regional interinstitutional coordination mechanism supported by national entities; (ii) a concerted strategic framework that incorporates measures to protect the physical and cultural integrity of these peoples; (iii) an action plan containing territorial protection measures, including legal protection and physical control of the activities developed by external agents in the territories occupied by these peoples and transboundary areas; (iv) a regional health care strategy that includes a technical standard for prevention in health with an intercultural approach and emergency health systems in the areas inhabited by these peoples; and (v) systematized data and knowledge on the situation of indigenous peoples in voluntary isolation, and capacity-development and commitment to protect them.

ii. Components

3.6. Component 1. Interinstitutional Regional Coordination Mechanism

OBJECTIVE: This component seeks to support the creation of entities to protect indigenous peoples in voluntary isolation and initial contact at the national and regional Amazonian levels.

ACTIVITIES: Its activities include creating a working group to address institutional aspects and generate inputs through institutional assessments within each country. The WG will to a great extent follow the suggestions of the "Georgetown Report" produced in the First Regional Meeting of Governmental Authorities for Indigenous Affairs of ACTO, which proposed the creation of a Permanent Subcommittee to address the issue. This initiative will make it possible to concretely begin to meet this intergovernmental demand. The Program will support the following measures in each participant country: (a) contracting of a national facilitator/animador; (b) conduction of an institutional assessment; (c) identification of relevant players in all the sectors (governments, indigenous organizations, civil society and private sector); and (d) identification of protection initiatives already in place at the local, national and regional levels.

3.7. Component 2. Regional Guidelines for a Concerted Strategic Framework

OBJECTIVE: This component will produce: (i) a set of basic principles considered technically essential for an effective strategic framework for protection; (ii) an analysis of regulatory strengths and weaknesses in each country with regard to the basic principles agreed upon; and (iii) commitments by all the countries on measures to strengthen their own regulatory frameworks and that of the region.

ACTIVITIES: The Program will support a technical and facilitated discussion to compare these legal instruments to each other, contrast them with other relevant legal instruments, and evaluate their potential efficacy. To this extent, the Program will support the contracting of a legal expert in each country to review existing legislation on indigenous peoples, conservation, health, etc., and propose the corresponding adjustments and mechanisms.

3.8. Component 3. Regional Action Plan

OBJECTIVE: This component will produce a regional action plan concerted by the countries and national action plans.

ACTIVITIES: The activities supported by this component will include regional and national discussion events (with active participation of indigenous organizations of the regions inhabited by isolated peoples) to define specific targets for territorial protection, health and overall monitoring of indigenous peoples in voluntary isolation and initial contact, including commitments, responsibilities and instruments that will be consolidated into national action plans.

3.9. Component 4. Regional Health Strategy

OBJECTIVE: This component will generate a technical health care standard to protect indigenous peoples in isolation and initial contact, including essential contingency plans. It will also drive the formulation of a regional strategic framework and the creation of specialized medical teams to offer health care in situations of contact within each country.

ACTIVITIES: The component will support the contracting of a regional coordinator and eight national consultants from the ACTO countries that specialize in isolated indigenous peoples' health, who will provide the steering committee and focal points network with the input described next. The consultants will support: (i) institutional mapping of health services provided at the local, national and regional levels; (ii) gathering and systematization of first contact and health care experiences (past and present) for indigenous peoples in initial contact; (iii) development of specialized integral health care teams for indigenous peoples in isolation; (iv) based on investigations of the location of isolated peoples (Component 5), register the geographical situation of each isolated group describing neighboring communities and health vectors present in each area; (v) organization of national and regional workshops with the Ministries of Health and other specialized players in each country to discuss a technical health care standard and strategy proposal to be shared and concerted throughout the region; and (vi) coordination of a

consolidated health program for indigenous peoples in voluntary isolation and initial contact in each country and formulation of regional action proposals.

This component will benefit from being coordinated by ACTO in the context of its Amazonian health plan, promoting the strategy's implementation by the countries' health institutions with technical cooperation from the Bank. The creation of an Intergovernmental Health Committee for Amazonian Indigenous Peoples with representatives of the competent ministries, promoted by ACTO to help reconcile the policies of the different countries and promote their implementation, will also provide strategic support to the initiative of protecting the health of indigenous peoples living in isolation and initial contact. For the strategy to be sustained over time, this component will promote full participation, at the operational level, of entities already working on the topic in the region, such as the Amazonian Network of Indigenous Health (REDSIAMA).

3.10. **Component 5. Sustainability Tools for the Regional Strategic Framework**

OBJECTIVE: To make sure that the Strategic Framework is implemented and remains sustainable this component will strengthen and improve capacities in participant countries and social groups in transboundary communities, especially indigenous groups, to carry out the necessary protection and surveillance activities, by: (i) deepening knowledge on indigenous peoples in isolation and initial contact, their rights and territories in each country; (ii) training relevant actors in specific protection methodologies; and (iii) developing a communication and information exchange strategy to raise society's awareness and facilitate regional monitoring.

ACTIVITIES: Its activities include: (i) contracting a specialized research project in each country to obtain a complete situation assessment of these peoples and their territories and establish a clear national baseline; (ii) short regional workshops to discuss methodologies and share experience on protection methods with the institutions responsible for protection in each country; (iii) a two-day methodology workshop in each country with local experts, guided by a national facilitator/animador; (iv) a regional course/workshop for oil companies and others (in the context of IIRSA, for example) whose projects have the potential of impacting areas that neighbor those inhabited by indigenous peoples living in voluntary isolation or initial impact; (v) regional communication strategies to protect isolated indigenous peoples and support to a regional observatory that generates early warnings through a rapid communication line; (vi) a campaign to inform and raise awareness of the rights of indigenous peoples in voluntary isolation and initial contact and their high vulnerability; (vii) supporting the creation of a Working Group for indigenous peoples in voluntary isolation and initial contact with representatives of the Amazonian Parliament (PARLAMAZ), the Coordinator of Indigenous Organizations of the Amazon Basin (COICA) and the Association of Amazonian Universities (UNAMAZ); and (viii) supporting the formulation of a financial strategy to ensure the initiative's long term sustainability through studies on possible financial sustainability alternatives to be contributed by the governments and by the agencies that support the Program. ACTO will coordinate with each Member Country what entities will be responsible for the activities of this component.

IV. Results

a. Direct Effects

| SCOPE OF DEVELOPMENT OBJECTIVES (DO) | | |
|--|--|---------------------------------|
| Development Objective (Purpose) | Key Indicators of Direct Effects | |
| Physical and cultural integrity of peoples in isolation and initial contact in the Amazon protected. | Direct Effects Planned: Not measurable due to the isolated nature of these peoples. | DIRECT EFFECTS ACHIEVED: |
| Project Objective Develop a regional strategic framework to protect indigenous peoples in isolation and initial contact that coordinates and links the countries where these people live. | Two countries are partially using the toolkit prepared by the project in their actions with isolated indigenous peoples. Three countries have interinstitutional plans and policies to protect isolated indigenous peoples. | |

REFORMULATION

[X] N/A

Summary Performance Rating (DO):

Highly probable (HP) Probable (P) Low Probability (LP) Improbable (I)

b. Externalities

4.1. Positive: Social stability and favorable macroeconomic environment.

4.2. Negative: Not identified to date.

4.1. Positive: Social stability and favorable macroeconomic environment.

4.2. Negative: Not identified to date.

c. Outputs

| IMPLEMENTATION PROGRESS (IP) | | |
|---|--|-------------------------|
| Components ("Outputs"): | Key Output Indicators: | |
| 1) Component 1. Regional Mechanisms for Interinstitutional Coordination - Total Cost: 171,550 Counterpart: USD 38,000 IDB: USD 133,550 IDB Disbursement: 0% | Planned Outputs (i) Creation of a Permanent Sub-Commission for Indigenous Peoples in Voluntary Isolation and Initial Contact formed by the national focal points for the topic and the ACTO Coordinating Office of Indigenous Affairs. (ii) Component 1 will support the governments so that at the end of the Project at least 3 countries have created national interinstitutional coordination mechanisms to protect isolated peoples. | Outputs Achieved |

| | | |
|--|--|---|
| <p>2) Component 2. Regional Guidelines for a Strategic Framework</p> <p>- <u>Total Cost: 69,050:</u> Counterpart: USD 5,000 IDB: USD 64,050 IDB Disbursement: 0%</p> | <p style="text-align: center;"><u>Planned Outputs</u></p> <p>(i) Contracting of an international consultant to prepare an institutional and regulatory assessment of protection instruments for isolated peoples. (Instead of hiring national consultants the project will hire an international consultant to conduct a comparative regulatory and institutional analysis.)</p> <p>(ii) A meeting of focal points on the national regulatory and institutional matters.</p> | <p style="text-align: center;"><u>Outputs Achieved</u></p> |
| <p>3) Component 3. Regional Action Plan</p> <p>- <u>Total Cost: 225,500</u> Counterpart: USD 40,000 IDB: USD 185,500 IDB Disbursement: 0%</p> | <p style="text-align: center;"><u>Planned Outputs</u></p> <p>(i) Contracting of technical advisor to coordinate the project. (The project will not hire a coordinator because it will be coordinated by the indigenous affairs coordinator).</p> <p>(ii) Appointment by ACTO of an employee to coordinate the project.</p> <p>(iii) Conduction of 5 national workshops to discuss national protection plans.</p> <p>(iv) Conduction of 1 regional workshop to consolidate the technical tools to support the national protection plans.</p> <p>(v) Consolidated toolkit for legal, territorial and health protection of isolated indigenous peoples.</p> | <p style="text-align: center;"><u>Outputs Achieved</u></p> |
| <p>4) Component 4. Regional Health Care Strategy</p> <p>- <u>Total Cost: 94,500</u> Counterpart: USD 0.00 IDB: USD 94,500 IDB Disbursement: 0%</p> | <p style="text-align: center;"><u>Planned Outputs</u></p> <p>(i) Contracting of an international health consultant.</p> <p>(ii) Contracting of 5 national health consultants.</p> <p>(iii) At least 3 countries provide consolidated health programs for isolated peoples.</p> <p>(iv) Consolidation of a regional proposal for health protocols for indigenous peoples in isolation.</p> | <p style="text-align: center;"><u>Outputs Achieved</u></p> |
| <p>5) Component 5. Sustainability Tools of the Regional Strategic Framework</p> <p>- <u>Total Cost: 241,700</u> Counterpart: USD 45,000 IDB: USD 196,700 IDB Disbursement: 0%</p> | <p style="text-align: center;"><u>Planned Outputs</u></p> <p>(i) Four countries present national baselines on the situation of isolated indigenous peoples.</p> <p>(ii) The project has a financial strategy to implement national protection plans for isolated peoples.</p> <p>(iii) The project implements a communication strategy: (1) publication of results in the ACTO website, (2) installation of outputs in the Geosud geographic information system and (3) in the BIREME website, and (4) at least 3 national publications on the program. (The creation of a regional warning observatory will be considered in a following stage).</p> <p>(iv) Conduction of 5 national capacity-building workshops with local players.</p> <p>(v) Conduction of 1 regional capacity-building workshop with the participation of companies linked to IIRSA.</p> <p>(vi) At least 2 local governments incorporate measures to protect isolated indigenous peoples in their policy instruments.</p> <p>(vii) At least 2 companies incorporate protection protocols for non-contacted peoples in their social responsibility policies.</p> <p>(viii) At least 7 employees from the countries trained in the field in protection fronts for isolated peoples in Funai, Brazil.</p> | <p style="text-align: center;"><u>Outputs Achieved</u></p> |

d. Project Costs

i) Planned

| Investment Categories | IDB | Local | Total |
|---|----------------|----------------|----------------|
| Component 1. Interinstitutional regional coordination mechanism | 133,550 | 38,000 | 171,550 |
| Component 2. Regional guidelines for a concerted strategic framework | 64,050 | 5,000 | 69,050 |
| Component 3. Regional Action Plan | 185,500 | 40,000 | 225,000 |
| Component 4. Regional Health Strategy | 94,500 | 0 | 94,500 |
| Component 5. Sustainability Tools for the Regional Strategic Framework | 196,700 | 45,000 | 241,700 |
| Coordination | 54,000 | 24,000 | 78,000 |
| Oversight, evaluation and audit | 27,000 | 0 | 27,000 |
| Contingencies | 44,700 | 0 | 44,700 |
| PROGRAM TOTAL | 800,000 | 152,000 | 952,000 |
| | 84.03% | 15.97% | 100.00% |

ii) Achieved *

| Investment Categories | IDB | Local | Total |
|---|------------|--------------|--------------|
| Component 1. Interinstitutional regional coordination mechanisms | | | |
| Component 2. Regional guidelines for a concerted strategic framework | | | |
| Component 3. Regional Action Plan | | | |
| Component 4. Regional Health Strategy | | | |
| Component 5. Sustainability Tools for the Regional Strategic Framework | | | |
| Coordination | | | |
| Oversight, evaluation and audit | | | |
| Contingencies | | | |
| PROGRAM TOTAL | | | |
| | 0% | 0% | 0% |

* The program's financial execution has not begun yet.

iii) Graphs:

The program's financial execution has not begun yet.

V. Program Execution

a. *Analysis of critical factors / problems found during execution.*

5.1. Program in the initial stage; no critical factors identified yet.

b. Corrective measures adopted

5.2 Program in the initial stage; no corrective measures adopted yet.

VI. Sustainability

a. Analysis of Critical Factors

6.1. Program in the initial stage with guaranteed budget to meet the counterpart investments.

b. Potential Risks

6.2. Program in the initial stage; no potential risks identified.

VII. Lessons Learned

7.1. Program in the initial stage; no specific lessons learned yet.

VIII. Compliance with the Contractual Clauses

| Contractual Requirement | Object | Presentation Document | Periodicity | Date of IDB Approval |
|--------------------------------|---------------|------------------------------|--------------------|-----------------------------|
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ANNEXES

1. Procurement Plan
2. Detail of In-Kind Counterpart Funds
3. Detailed Budget